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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 130.00

Complete If Known

Application Number 10/781,404
 Filing Date 02/18/2004
 First Named Inventor Porter C. Shannon
 Examiner Name Unassigned
 Art Unit 1713
 Attorney Docket No. 2003U036.US

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 50-0589
 Deposit Account Name Univation Technologies

The Commissioner is authorized to: (check all that apply)

☒ Charge fees indicated below ☒ Credit any overpayments
☐ Charge any additional fees during the pendency of this application
☐ Charge fees indicated below, except for the filing fee to the above identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (S)	Small Entity Fee Code (S)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1009 520	2009 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1003 160	2003 80	Provisional filing fee	
SUBTOTAL (1) (\$)			0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from	Fee Paid
0	-20** = 0	X 1.0	0
Independent Claims	0	X 8.0	0
Multiple Dependent	0	X 2.0	0

Large Entity Fee Code (S)	Small Entity Fee Code (S)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1206 18	2206 9	** Reissue claims in excess of 20 over original patent

SUBTOTAL (2) (\$) 0.00

** Number previously paid, if greater. For Reissue, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity / Small Entity

Fee Code (S)	Fee Code (S)	Fee Description	Fee Paid
1091 130	2091 65	Surcharge - late filing fee or cash	130.00
1092 50	2092 25	Surcharge - late provisional filing fee or cover sheet	
1063 130	2063 130	Non-English specification	
1612 2,520	1612 2,520	For filing a request for ex parte reexamination	
1804 800*	1804 800*	Requesting publication of SR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SR after Examiner action	
1291 110	2291 55	Extension for reply within first month	
1292 410	2292 205	Extension for reply within second month	
1293 930	2293 465	Extension for reply within third month	
1294 1,430	2294 725	Extension for reply within fourth month	
1295 1,930	2295 965	Extension for reply within fifth month	
1401 300	2401 150	Notice of Appeal	
1402 350	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1481 1,510	1481 1,510	Petition to institute a public use proceeding	
1482 110	2482 55	Petition to revive - unavoidable	
1483 1,330	2483 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 190	1460 190	Petitions to the Commissioner	
1807 80	1807 80	Processing fee under 37 CFR 1.17(b)	
1806 100	1806 100	Submission of Information Disclosure Sheet	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 800	1802 800	Request for expedited examination or a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 130.00

SUBMITTED BY

Name (Print/Type) Kevin M. Finkler
 Signature *Kevin M. Finkler*

Registration No. 45,427

(Complete if applicable)

Telephone 713-692-3729

Date 5-26-04

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 15 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or any suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003, Patent fees are subject to annual inflation.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 130.00

Approved for use through 04/02/2003, OMB 0651-0055
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
Information on this form is available at www.uspto.gov

Complete if Known

Application Number 10/781,404
Filing Date 02/18/2004
First Named Inventor Porter C. Shannon
Examiner Name Unassigned
Art Unit 1713
Attorney Docket No. 2003U038, US

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account

Deposit Account Number 50-0588
Deposit Account Name Univation Technologies

The Commissioner is authorized to: (check all that apply)
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☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Code (1)	Small Entity Code (2)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 250	2003 125	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) (3)			0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	0	Extra Charge	below	Fee Paid
Independent Claims	0	-30% =	0	0
Multiple Dependent	0	-30% =	0	0
			184	0
			280	0

Latest Entry Fee Code (A)	Break Entry Fee Code (B)	Fee Description
1202 19	2202 8	Claims in excess of 20
1201 94	3201 42	Independent claims in excess of 3
1202 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	"Receives dependent claims over original patient
1205 19	2205 9	"Receives claims in excess of 20 and over original patient

SUBTOTAL (2) (3)	0.00
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*For number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity - Small Entity

Fee Code (1)	Fee Code (2)	Fee Description	Fee Paid
1081 120	2081 60	Surcharge - late filing fee or cash	130.00
1082 50	2082 25	Surcharge - late provisional filing fee or cash	
1053 120	2053 150	Non-English specification	
1012 2,520	2012 2,520	For filing a request for ex parte reexamination	
1804 820*	2804 820*	Requesting publication of SA prior to Examiner action	
1805 1,640*	2805 1,640*	Requesting publication of SA after Examiner action	
1231 110	2231 60	Extension for reply within first month	
1232 410	2232 205	Extension for reply within second month	
1233 930	2233 465	Extension for reply within third month	
1234 1,450	2234 725	Extension for reply within fourth month	
1235 1,970	2235 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	2451 1,510	Petition to institute a public use proceeding	
1452 110	2452 60	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1802 470	2802 235	Design issue fee	
1503 650	2503 315	Plant issue fee	
1480 130	2480 130	Patrons to the Commissioner	
1807 90	2807 90	Processing fee under 37 CFR 1.17(g)	
1808 180	2808 180	Submission of Information Disclosure Sheet	
8021 40	2021 40	Recording each patent assigned per property (times number of properties)	
1809 750	2809 375	Filing a substantiation after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1811 750	2811 375	Request for Continued Examination (RCE)	
1802 900	2802 900	Request for expedited examination of a design application	
Other fee (specify)			
*Required by Basic Filing Fee Paid			
SUBTOTAL (3) (3)			130.00

SUBMITTED BY		Registration fee (optional)		Telephone 713-692-3720	
Name (print/type) Kevin M. Rediker		45.427		Date 5-26-04	
Signature <i>Kevin M. Rediker</i>					

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Univation Technologies, LLC 5555 San Felipe, 19th Floor, Houston, Texas 77056 United States of America**Univation** RECEIVED
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Facsimile (703) 872-9306

FROM: KEVIN M. FAULKNER

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REMARKS:

☐

Urgent

☒

For your review

☐

Reply ASAP

☐

Please comment

RE: U.S.S.N.: 10/781,404
Filing Date: 02/18/2004
Attorney Docket No.: 2003U038.US

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence, consisting of a Notice to File Missing Parts of a Nonprovisional Application, a Fee Transmittal in duplicate and an executed Declaration (4 pages) are being facsimile transmitted to the United States Patent and Trademark Office, to facsimile number (703) 872-9306, on Wednesday, May 26, 2004.

May 26/04
Date
Kevin M. Faulkner
Registration No. 45,427
Attorney for Applicant(s)

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